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02-6-03

Docket No.: 1419-133 US

The undersigned certifies that this communication is being deposited with the United States Postal Service as prepaid first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 27, 2003.


Diane Dunn McKay

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GROUP 3600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Minsky, N. et al.

Serial No.: 10/069,895

Group Art Unit: 2161

Filed: June 3, 2002

Examiner: TBD

Title: SYSTEM AND METHOD PROVIDING INTEROPERABILITY
BETWEEN ENFORCED POLICIES

Information Disclosure Statement

Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicants hereby bring to the attention of the United States Patent and Trademark Office the following documents of which Applicants have been made aware. The documents are listed on the attached PTO Form 1449. Copies of the documents are enclosed.

Applicants submit that this Information Disclosure Statement is submitted prior to receipt of the first Office Action.

It is requested that the references be considered by the Examiner and be made of record as part of the available prior art under 37 C.F.R. § 1.104. It is believed that no fee is required. The Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 13-2165.

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 1419-133 US		Application Number 10/069,895		
				Applicant(s) Minsky, N. et al.		Filing Date June 3, 2002		Group Art Unit 2161
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	REF	TRADEMARK	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
			6,061,057	05/09/2000	Knowlton et al.			RECEIVED
			5,996,076	11/30/1999	Rowney et al.			FEB 03 2003
			5,903,873	05/11/1999	Peterson et al.			GROUP 3600
			5,311,438	05/10/1994	Sellers et al.			
FOREIGN PATENT DOCUMENTS								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
		Minsky, N. et al., "Law-Governed Interaction: A Coordination and Control Mechanism for Heterogeneous Distributed Systems," ACM Transactions on Software Engineering and Methodology, October 2000, pp. 1-31.						
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								